

on June 1, 2007

TOWNSEND and TOWNSEND and CREW LLP

By:


Roger Hylton

PATENT
Attorney Docket No.: 16869K-102300US
Client Reference No.: 668/SM/mt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hidenori YAMAMOTO, et al.

Application No.: 10/731,928

Filed: December 9, 2003

For: DISTRIBUTED SYSTEM AND
BROKERING METHOD USING
CONTEXT

Confirmation No.: 6655

Examiner: D. W. Ruhl

Art Unit: 3629

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the cited foreign patent and non-patent references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.


Also enclosed is a copy of an office action dated January 10, 2007 issued by the Japan Patent Office (JPO) for the corresponding JPO patent application JP2002-356128 which cites the enclosed references. Please note that the Itao et al. reference cited by the JPO examiner has already been disclosed and submitted in the present application. Please also note that the JPO

examiner cites JPO patent application JP2001-145174 which corresponds to US Pat No. 6,983,306 cited herein.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,


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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known		
				Application Number	10/731,928	
				Filing Date	December 9, 2003	
				First Named Inventor	Hidenori Yamamoto	
				Art Unit	3629	
Examiner Name	D. W. Ruhl					
Attorney Docket Number	16869K-102300US					
Sheet	1	of	2			

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-6,983,306	01-03-2006	Sameshima et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures	† ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	2	JP	2002-108812	A	04-14-2002	NTT		abstract only
	3	JP	2001-195372	A	07-13-2001	NTT		abstract only

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				<i>Application Number</i>	10/731,928
				<i>Filing Date</i>	December 9, 2003
				<i>First Named Inventor</i>	Hidenori Yamamoto
				<i>Art Unit</i>	3629
				<i>Examiner Name</i>	D. W. Ruhl
<i>Sheet</i>	2	<i>of</i>	2	<i>Attorney Docket Number</i>	16869K-102300US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	4	IGAKURA et al. "Policy-based Application-level Message Routing," IEICE Technical Report 102:9-14, Japan (Nov. 2002).	abstract only	
	5	Japan Patent Office (JPO) Notification of Reason for Rejection dated January 10, 2007 for JPO patent application JP2002-356128.	<input checked="" type="checkbox"/>	

Examiner Signature		Date Considered	
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¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

² Applicant's unique citation designation number (optional). ³ Applicant is to place a check mark here if English language Translation is attached.